

Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
USPTO Use Only

EFS ID: 14775  
Application ID: 10063439  
Title of Invention: TRACKING ACCESS CONTROL  
METHOD FOR OPTICAL STORAGE  
MEDIUM DRIVE  
First Named Inventor: Jia-Shing Sheu  
Domestic/Foreign Application: Domestic Application  
Filing Date: null  
Effective Receipt Date: 2002-04-24  
Submission Type: Utility Patent Filing  
Filing Type: new-utility  
Confirmation Number: 0  
Attorney Docket Number: VIAP0017USA  
Digital Certificate Holder: cn=Winston Hsu, ou=Registered Attorneys, ou=Patent and  
Trademark Office, ou=Department of Commerce, o=U.S.  
Government, c=US  
Certificate Message Digest: YXdcaz3L54weUY5ghkTYfA==  
Total Fees Authorized: \$780.0  
Payment Category: DA - Deposit Account  
Deposit Account Number: 500801  
Deposit Account Name: WINSTON HSU



# TRANSMITTAL FORM



Electronic Version 1.0.3

Stylesheet Version: 1.0

Attorney Docket  
Number:

VIAP0017USA

Submission Type: Utility  
Patent Filing

## TRACKING ACCESS CONTROL METHOD FOR OPTICAL STORAGE MEDIUM DRIVE

1c951 U.S. PRO  
10/063439  
04/24/02

First Named Inventor: Jia-Shing Sheu

### SUBMITTED BY

Name: Mr. Winston Hsu  
Registration Number: 41,526  
Electronic Signature Mark: Winston Hsu  
Date Signed: 20020424

Name: Mr. Mord Michael Lewis  
Registration Number: 50,478  
Electronic Signature Mark: Mord Michael Lewis  
Date Signed: 20020424

*I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.*

*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

**Attached Files:**

declaration	VIAP0017DEC1.TIF
declaration	VIAP0017DEC2.TIF
specification	VIAP0017.xml
bibd-transmittal	VIAP0017apds.xml
patent-assignments	VIAP0017asgn.xml
fee-transmittal	VIAP0017fee.xml

**Attached Image File(s):**

VIAP0017DEC1.TIF  
VIAP0017DEC2.TIF

Comments:

1. The applicant has not provided a copy of the proposed project plan or other documents that would allow the Commission to evaluate the project's impact on the environment. The applicant has also not provided a copy of the proposed project plan or other documents that would allow the Commission to evaluate the project's impact on the environment.

## COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor , I hereby declare that :

I believe I am the sole (if only one name appears below) , or joint (if more than one name appears) , original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled :

### "TRACKING ACCESS CONTROL METHOD FOR OPTICAL STORAGE MEDIUM DRIVES"

  +   The specification for the above entitled invention is filed herewith.

       The specification for the above entitled invention was filed previously  
with application serial number:                                  Filing Date:                                 

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of the invention disclosed in this application in accordance with Title 37, Code of Federal Regulations, Section 1.56 (a). I further acknowledge the duty in any continuation-in-part application to disclose to the Patent and Trademark Office all information known to be material to the patentability of the invention disclosed in this application, as defined in 1.56, which became available to me between the filing date of the prior application and the filing date of this application.

### PRIORITY CLAIM

       There is no claim of priority

  +   Claim of priority is based on the following:

Filing No. in Taiwan, R.O.C. : 090112005

Filing Date in Taiwan, R.O.C. : 2001/05/18

### POWER OF ATTORNEY

As a named inventor, I hereby appoint the following attorneys to prosecute this application and to transact all related business in the Patent and Trademark Office:

Winston Hsu	Registration# 41,526	WinstonHsu@naipo.com.tw
Mord Michael Lewis	Registration# 50,478	MikeLewis@naipo.com.tw

P.O. Box 506,  
Merrifield, Virginia 22116,

## DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued hereon.

Date: Nov. 11, 2001 Jia-Shing Sheu  
Printed Name: Jia-Shing Sheu  
Post Office Address: 2F, No.4, Alley 6, Lane 128, Sec.1, Chung-Shan Rd.,  
and Residence: Yungho City, Taipei Hsien, Taiwan, R.O.C.  
Citizen of: R.O.C.

Date: Nov. 22, 2001 Chih-Kang Hsu  
Printed Name: Chih-Kang Hsu  
Post Office Address: 3F, No.8, Lane 248, Yen-Ping S. Rd.,  
and Residence: Taipei City, Taiwan, R.O.C.  
Citizen of: R.O.C.

Date: \_\_\_\_\_  
Printed Name: \_\_\_\_\_  
Post Office Address: \_\_\_\_\_  
and Residence: \_\_\_\_\_  
Citizen of: \_\_\_\_\_

Date: \_\_\_\_\_  
Printed Name: \_\_\_\_\_  
Post Office Address: \_\_\_\_\_  
and Residence: \_\_\_\_\_  
Citizen of: \_\_\_\_\_

Date: \_\_\_\_\_  
Printed Name: \_\_\_\_\_  
Post Office Address: \_\_\_\_\_  
and Residence: \_\_\_\_\_  
Citizen of: \_\_\_\_\_

# FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

*Patent fees are subject to annual revisions on or about October 1st of each year.*

Large Entity

**TOTAL FEES AUTHORIZED: \$ 780**

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 500801



Deposit Account Name: NORTH AMERICA INTERNATIONAL PATENT OFFICE

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

Charge Assignment Fees Required Under 37 C.F.R. Section 1.21 (h).

**SUBMITTED BY**

Authorized Name: WINSTON HSU

Electronic Signature Mark: VAEB-JMXX-8IIL

Date Signed: 20020424

## BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	101	\$ 740

Subtotal For Basic Filing Fee: \$ 740

## EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 14	103	\$ 18	0	\$ 0
Independent Claims: 2	102	\$ 84	0	\$ 0

Subtotal For Extra Claims Fees: \$ 0

## ADDITIONAL FEES

Fee Description	Number	Quantity	Fee Code	Amount	Fee Paid
Recording Each Patent Assignment Per Property Fee	00000000	1	581	\$ 40	\$ 40

Subtotal For Additional Fees: \$ 40